



PATENT  
Attorney Docket No. RVI-001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Rowan et al.  
SERIAL NO.: 10/668,833 GROUP NO.: Not yet assigned  
FILING DATE: September 23, 2003 EXAMINER: Not yet assigned  
TITLE: Systems and Methods for Time Dependent Data Storage and Recovery

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with the U.S. Patent Office's partial waiver of the requirement under 37 C.F.R. 1.98(a)(2)(i), copies of U.S. patents and published applications are not enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**

- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



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<b>FORM PTO - 1449</b>				<b>ATTORNEY DOCKET NO.: RVI-001</b>			
<b>INFORMATION DISCLOSURE STATEMENT</b>				<b>APPLICANT(S): Rowan et al.</b>			
				<b>SERIAL NO.: 10/668,833</b>			
				<b>FILING DATE: September 23, 2003</b>			
				<b>GROUP: Not yet assigned</b>			
<b>U.S. PATENT DOCUMENTS</b>							
<b>EXAM. INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB CLASS</b>	<b>FILING DATE IF APPROPRIATE</b>
	A1	4,814,971	3/21/89	Thatte	364	200	9/11/85
	A2	5,201,044	4/6/93	Frey, Jr. et al.	395	575	4/16/90
	A3	5,235,601	8/10/93	Stallmo et al.	371	40.1	12/21/90
	A4	5,280,611	1/18/94	Mohan et al.	395	600	11/8/91
	A5	5,287,501	2/15/94	Lomet	395	600	7/11/91
	A6	5,325,519	6/28/94	Long et al.	395	575	10/18/91
	A7	5,381,545	1/10/95	Baker et al.	395	575	5/28/92
	A8	5,479,654	12/26/95	Squibb	395	600	3/30/93
	A9	5,729,743	3/17/98	Squibb	395	619	11/30/95
	A10	5,745,906	4/28/98	Squibb	707	203	11/14/95
	A11	5,751,939	5/12/98	Stiffler	395	182.13	11/29/95
	A12	5,764,877	6/9/98	Lomet et al.	395	182.04	9/1/95
	A13	5,787,243	7/28/98	Stiffler	395	182.11	7/2/96
	A14	5,790,773	8/4/98	DeKoning et al.	395	182.04	12/29/95
	A15	5,813,017	9/22/98	Morris	707	204	9/3/96
	A16	5,864,657	1/26/99	Stiffler	395	182.13	11/29/95
	A17	5,893,119	4/6/99	Squibb	707	203	7/22/97
	A18	5,948,110	9/7/99	Hitz et al.	714	6	6/5/95
	A19	6,016,553	1/18/00	Schneider et al.	714	21	6/26/98
	A20	6,061,769	5/9/00	Kapulka et al.	711	162	9/27/95
	A21	6,065,018	5/16/00	Beier et al.	707	202	3/4/98
	A22	6,081,875	6/27/00	Clifton et al.	711	162	5/19/97
	A23	6,148,416	11/14/00	Masubuchi	714	15	5/9/97



	A24	6,158,019	12/5/00	Squibb	714	13	11/14/97
	A25	6,199,178 B1	3/6/01	Schneider et al.	714	21	7/15/99
	A26	6,240,527 B1	5/29/01	Schneider et al.	714	21	11/29/99
	A27	6,289,357 B1	9/11/01	Parker	707	202	4/24/98
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	A29	6,324,548 B1	11/27/01	Sorenson	707	204	7/22/99
	A30	6,345,346 B1	2/5/02	Biessener et al.	711	162	2/26/99
	A31	6,539,402 B1	3/25/03	Sorenson et al.	707	202	2/22/00
	A32	2002/0023199 A1	2/21/02	Biessener et al.	711	162	10/12/01
	A33	2002/0049883 A1	4/25/02	Schneider et al.	711	100	4/25/01
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FOREIGN PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)							

EXAMINER

DATE CONSIDERED